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UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 28 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/081,041 | 02/14/2002 | Vincent Rosa | Rosa POP 22 |

23974
NOLTE NOLTE & HUNTER
CHRISTOPHER B GARVEY
1077 NORTHERN BLVD
ROSLYN, NY 11701

CONFIRMATION NO. 8523

FORMALITIES LETTER

OC00000007673234

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

05/30/2002 AWDNDAF1 00000129 10081041

The following item(s) appear to have been omitted from the application:

Fig 2:101
02 Fig:105

740.00 00
130.00 00

- Figure(s) Fig 2, 3, 4, 5, and 6 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the

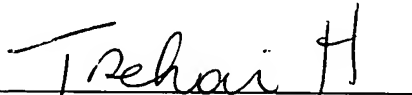
date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



COPY OF PAPERS
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DAC/1/3
PATENT

Atty Docket Rosa/POP 22

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Rosa, et al.
SERIAL NO. 10/081,041
FILED: Feb. 14, 2002
TITLE: HOOK AND HANG DISPLAY SYSTEM WITH PLUG-IN BULLNOSE HEADER
MODULE

RESPONSE TO NOTICE TO FILE MISSING PARTS

ATTN: BOX MISSING PARTS

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The above-captioned application was filed on Feb. 14, 2002, without payment of the official filing fee and without the *Declaration/Power of Attorney*. In reponse due 5/20/2002 to the *Notice to File Missing Parts*, mailed 3/20/02, please enter the following documents:

First Class Mailing Certificate

I Hereby certify that this paper and its enclosures:

Late *Declaration and Power of Attorney*, *CoR #10117*

- **CHECK for \$1000** (Job Ticket 117555/001D) in payment of official filing fee \$740 + , late fee \$130 + and Petition fee \$130,

Petition to Accept Documents with enclosures:

Postcard Receipt Copy as acknowledged by the USPTO Mail Room

Application Transmittal including the **Certificate of Express Mailing**

10 Sheets of formal Drawings

Copy of NOTICE TO FILE MISSING PARTS, and

Postcard Receipt for this transmittal.

are being deposited with the United States Postal Service as FIRST CLASS MAIL under 37 CFR 1.8 on May 17, 2002 and is addressed to the Commissioner of Patents, Box Missing Parts, Washington, DC 20231.

Lisa L. Vulpis
Signed: Lisa Vulpis

We note that the *Declaration and Power of Attorney* has only been signed by co-inventor Seth Yablans.

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MAY 30 2002

OFFICE OF PETITIONS

Co-inventors Vincent Rosa and Chico Basdeo are not cooperating in the prosecution of this application, although both have assigned their rights in the invention in the parent *Provisional Application* and in that *Assignment* assigned any subsequent applications and patents to assignee P.O.P. Displays, Inc..

A single one of several coinventors, or an assignee, may prosecute a patent application without the cooperation of his co-inventors.

A *Petition to Accept Documents [missing figs 2-6] Previously Filed under 37 CFR 1.10* is also enclosed.

Any fee deficiency or over-payment may be charged or credited to Deposit Account No. 03-2468, without further authorization.

Respectfully submitted,

By: 

Christopher B. Garvey, Reg. No. 31,015
Attorney for Applicant

Direct all further correspondence to:

PTO Customer Number 23974



COPY OF PAPERS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket: Rosa POP 22

CERTIFICATE OF EXPRESS MAILING

Express Mail mailing label No. EL 871452310 US

I hereby certify that this paper and its enclosures are being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' Service under 37 CFR 1.10 on 02-14-2002

and is addressed to: The Assistant Commissioner of Patents,
Box PATENT APPLICATION, Washington, DC 20231

Lisa L. Vulpis
Signed: Lisa Vulpis

Chris Garley 31,015
Chris Garley

TRANSMITTAL LETTER

This is a request for filing a new Utility patent application, in the name of Vincent Rosa of Woodhaven, NY; Seth Yablans of Brookville, NY; and Chico Basdeo, of Astoria, NY, entitled: HOOK AND HANG DISPLAY SYSTEM WITH PLUG-IN BULLNOSE HEADER MODULE, under 1.53 (any application) claiming benefit under 35 USC 119(e) of Feb. 14, 2001 from provisional application 60/268,511.

Enclosures

The SPECIFICATION, CLAIMS, and ABSTRACT are enclosed.

A REQUEST FOR NON-PUBLICATION (form PTO/SB/35) is enclosed.

10 sheets of formal drawings are enclosed.

A self-addressed POSTCARD receipt is enclosed.

The filing fee will be paid later.

The filing fee is calculated as follows:

basic fee = \$ 740

0 extra claim fee @ \$ 18 = \$ 0

0 extra independent claim fee @ \$ 84 = \$ 0

translation fee = \$ 0

total filing fee = \$ 740

based on fees effective Oct. 1, 2001.

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OFFICE OF PETITIONS

The Commissioner is authorized to credit any overpayment or to charge any deficiency during the ENTIRE PENDENCY of this application to deposit account No. 03-2468, **BUT do not charge the filing fee at this time . The filing fee will be paid later.**

The DECLARATION will be filed later, with a 37 CFR 1.16(e) fee.

Address all future communications to:

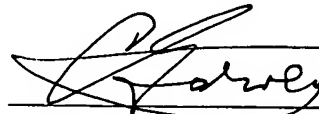
Customer Number **23974**

Amend the specification by inserting before the first line the sentence:

--This application claims priority under 35 USC 119(e) of Provisional Patent Application Number 60/268,511, filed; Feb. 14. 2001 - -.

02-14-2002

Date



Christopher B. Garvey

Registration number 31,015

Attorney for Vincent Rosa ~~Wadsworth, NY~~

et al, inventors

Customer Number **23974**